

09-29-00

A



**NEW, CONTINUATION, DIVISIONAL OR  
CONTINUATION-IN-PART APPLICATION  
UNDER 37 C.F.R. §1.53(b)**

Attorney Docket No. 7784000141Express Mail Label No. EL684101682USDate September 28, 2000

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Hon. Commissioner of Patents and Trademarks  
Washington, D. C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is a patent application for RETURN LINK DESIGN FOR PSD LIMITED MOBILE SATELLITE COMMUNICATION SYSTEMS

identified by: ☐ First named inventor \_\_\_\_\_  
or ☒ Attorney Docket No. (see above)

**1. Type of Application**

☒ This application is a new (non-continuing) application.

☐ This application is a ☐ continuation / ☐ divisional / ☐ continuation-in-part of prior application No. \_\_\_\_\_. Amend the specification by inserting before the first line the sentence:

--This is a [continuation/division/continuation-in-part] of United States patent application No. \_\_\_\_\_, filed \_\_\_\_\_--

☐ The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

If for some reason applicant has not requested a sufficient extension of time in the parent application, and/or has not paid a sufficient fee for any necessary response in the parent application and/or for the extension of time necessary to prevent the abandonment of the parent application prior to the filing of this application, please consider this as a Request for an Extension for the required time period and/or authorization to charge our Deposit Account No. 08-0750 for any fee that may be due. THIS FORM IS BEING FILED IN TRIPLICATE: one copy for this application; one copy for use in connection with the Deposit Account (if applicable); and one copy for the above-mentioned parent application (if any extension of time is necessary).

**2. Contents of Application**

a. Specification of 52 pages;

- ☐ A microfiche computer program (Appendix);  
☐ A nucleotide and/or amino acid sequence submission;

☐ Because the enclosed application is in a non-English language, a verified English translation ☐ is enclosed ☐ will be filed.

☐ Cancel original claims \_\_\_\_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing date purposes.)

b. ☒ Drawings on 9 sheets;



Date September 28, 2000

- c. ☒ A signed Oath/Declaration ☐ is enclosed / ☒ will be filed in accordance with 37 C.F.R. §1.53(f).

The enclosed Oath/Declaration is ☐ newly executed / ☐ a copy from a prior application under 37 C.F.R. §1.63(d) / ☐ accompanied by a statement requesting the deletion of person(s) not inventors in the continuing application.

d. **Fees**

FILING FEE	Number				Number				Basic Fee							
CALCULATION	Filed				Extra				Rate				\$690.00			
Total Claims	31	-	20	=	11	x	\$18.00	=						\$198.00		
Independent Claims	3	-	3	=	-0-	x	\$78.00	=						-0-		
Multiple Dependent Claim(s) Used								\$260.00	=							
FILING FEE - NON-SMALL ENTITY																
FILING FEE - SMALL ENTITY: Reduction by 1/2																
[ ] Verified Statement under 37 C.F.R. §1.27 is enclosed.																
[ ] Verified Statement filed in prior application.																
Assignment Recordal Fee (\$40.00)																
37 C.F.R. §1.17(k) Fee (non-English application)																
TOTAL													\$888.00			

- ☐ A check is enclosed to cover the calculated fees. The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to Deposit Account No. 08-0750. A duplicate copy of this document is enclosed.

- ☒ The calculated fees will be paid within the time allotted for completion of the filing requirements.

- ☐ The calculated fees are to be charged to Deposit Account No. 08-0750. The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment, to said Deposit Account. A duplicate copy of this document is enclosed.

3. **Priority Information**

- ☐ **Foreign Priority:** Priority based on \_\_\_\_\_ Application No. \_\_\_\_\_, filed \_\_\_\_\_, is claimed.

- ☐ A copy of the above referenced priority document ☐ is enclosed / ☐ will be filed in due course, pursuant to 35 U.S.C. §119(a)-(d).

- ☐ **Provisional Application Priority:** Priority based on United States Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_, is claimed under 35 U.S.C. §119(e).

4. **Other Submissions**

- ☐ A Preliminary Amendment is enclosed.
- ☐ An Information Disclosure Statement, \_\_\_\_\_ sheets of PTO Form 1449, and \_\_\_\_\_ patent(s)/publications/documents are enclosed.
- ☐ A power of attorney
- ☐ is submitted ☐ with the new Oath/Declaration.
- ☐ is of record in the prior application and ☐ is in the original papers / ☐ a copy is enclosed.
- ☐ An Assignment of the invention
- ☐ is enclosed with a cover sheet pursuant to 37 C.F.R. §§3.11, 3.28 and 3.31.
- ☐ is of record in a prior application. The assignment is to \_\_\_\_\_, and is recorded at Reel \_\_\_\_\_, Frame(s) \_\_\_\_\_.
- ☐ An Establishment of Assignee's Right To Prosecute Application Under 37 C.F.R. §3.73(b), and Power Of Attorney is enclosed.
- ☒ An Express Mailing Certificate is enclosed.
- ☐ Other: \_\_\_\_\_

Attention is directed to the fact that the correspondence address for this application is:

Harness, Dickey & Pierce, P.L.C.  
P.O. Box 828  
Bloomfield Hills, Michigan 48303  
(248) 641-1600.

Respectfully,

Date: September 28, 2000  
Harness, Dickey & Pierce, P.L.C.  
P.O. Box 828  
Bloomfield Hills, Michigan 48303  
(248) 641-1600

  
Mark D. Elchuk  
Reg. No. 33,686

**HARNESS, DICKEY & PIERCE, P.L.C.**  
ATTORNEYS AND COUNSELORS  
P O BOX 828  
BLOOMFIELD HILLS, MICHIGAN 48303  
U S A.

TELEPHONE  
(248) 641-1600

TELEFACSIMILE  
(248) 641-0270

Date: September 28, 2000

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Sir:

**EXPRESS MAILING CERTIFICATE**

Applicant: Michael de la Chapelle

Serial No. (if any): --

For: Return Link Design For PSD Limited  
Mobile Satellite Communication Systems


Docket: 7784-000141

Attorney: Mark D. Elchuk

"Express Mail" Mailing Label Number ..... EL684101682US

Date of Deposit ..... September 28, 2000

I hereby certify and verify that the accompanying request for a patent application (in triplicate); 52 page patent application with unexecuted Declaration and Power of Attorney; 9 sheets of informal drawings (Figs. 1-12) and post card are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. 1.10 on the date indicated above and are addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

  
\_\_\_\_\_  
Signature of Person Mailing Document(s)

**RETURN LINK DESIGN FOR PSD  
LIMITED MOBILE SATELLITE COMMUNICATION SYSTEMS**

TECHNICAL FIELD

This invention relates to systems for supplying television programming and data services to mobile platforms such as aircraft, and more particularly to a system and method for managing the radio frequency transmissions of a plurality of mobile platforms, such as aircraft, which are sharing a satellite-based radio frequency transponder, to ensure that an aggregate power spectral density of the radio frequency transmissions does not exceed predetermined regulatory power spectral density limits for interference with geostationary and non-geostationary satellites sharing the frequency band.

BACKGROUND OF THE INVENTION

Broadband data and video services, on which our society and economy have grown to depend, have heretofore generally not been readily available to users on board mobile platforms such as aircraft, ships, trains, automobiles, etc. While the technology exists to deliver such services to all forms of mobile platforms, past solutions have been generally quite expensive, low data rate and/or available to only

very limited markets of government/military users and some high-end maritime markets (i.e., cruise ships).

At present, a wide variety of broadcast television (TV) services are available to terrestrial users via satellite links. Such services include commercial Direct Broadcast Satellite (DBS) services (such as DirecTV and EchoStar) and custom video, such as rebroadcast video, over private Fixed Satellite Services (FSS) or Broadcast Satellite Services (BSS) satellites. The data services which can be provided via satellite link include all conventional Internet services (e.g., email, web browsing, NetMeeting, etc.), as well as virtual private networks (VPNs) for corporate and government customers.

Previously developed systems which have attempted to provide live TV and data services to mobile platforms have done so with only limited success. One major obstacle has been the high cost of access to such broadband data and video services. Another problem is the limited capacity of previously developed systems, which is insufficient for mobile platforms carrying dozens, or even hundreds, of individuals who each may be simultaneously requesting different channels of programming or different data services. Furthermore, presently existing systems are generally not readily scalable to address the demands of the traveling public.

Certain services currently available provide a limited subset of the above described services. One such service provides a narrow-bandwidth Internet connection to users on a mobile platform. Another service provides either TV broadcast services from available direct broadcast signals (i.e., EchoStar and DirecTV) or provides a custom TV broadcast signal through dedicated satellite links

(i.e., Airshow). However, no system or method presently exists for providing high speed (i.e., greater than 64 Kbps) data networking services to groups of users on mobile or remote platforms, let alone for providing such high-speed networking services together with video services.

5           There are several operational systems that provide limited Internet data services on commercial airlines and cruise ships. These systems are very limited in their link capability (primarily use communication links developed for telephony) and the service is very expensive (greater than about \$1.00 per minute for voice connection). For these reasons, and in view of inherent limitations on the capacity of  
10 such systems, such systems have met with limited commercial success and acceptance.

Current operational systems generally use Inmarsat satellite communication links or terrestrial wireless communication links (i.e., the National Air Telephone System "NATS") to achieve 2-way connectivity to mobile platforms. These connection  
15 forms have several drawbacks:

- 1) a limited connection bandwidth (typically less than 64 Kbps);
- 2) limited overall system capacity (due to limited frequency spectrum); and
- 20 3) high expense.

Inmarsat operates in the L-band frequency spectrum, where there is very little bandwidth and capacity available for providing broadband services to the traveling

public. NATS based solutions (i.e., GTE Airfone, AT&T Claircom), familiar to domestic airline travelers who use seat back-mounted telephones, also provide very limited capacity because of operation at L-band. These systems also suffer from the additional problem that connectivity is only available over land.

5           Current mobile platform connection methods are inherently narrow band and restrict the flow of data to the point where common networking tasks are impossible. Typically, this connectivity is achieved through the use of a standard computer telephone modem between the user's computer and the air-ground or ship-shore telephony system. In this scenario, each user gets exclusive use of a full  
10       communications channel for the duration of his/her networking session and effectively prevents others from using that portion of the telephony system.

          With present day systems which attempt to provide a means by which a plurality of mobile platforms transmit data to a shared satellite-based transponder, a particularly troubling problem has been how to efficiently operate and manage a  
15       plurality of small aperture mobile transmitting terminals that are geographically distributed over a wide area, with each mobile terminal transmitting at a different power spectral density (PSD) level according to its specific aperture size, the location of the mobile platform and the data rate at which data is being transmitted. It will be appreciated that airborne antennas such as electronically scanned phased array  
20       antennas (PAAs) tend to be smaller in aperture size than conventional terrestrial antenna. This is because of the important requirement for low aerodynamic drag of the antenna. Therefore, mobile platform based transmit antennas tend to have wider



antenna beams than conventional terrestrial Very Small Aperture (VSAT) antennas (typically about one meter diameter aperture). As a result, they radiate more power to adjacent satellites along the geostationary orbit (GSO) plane. Also, mobile transmit antennas can interfere with communications on satellites in non-geostationary orbits (NGSOs). Put differently, such mobile transmit antennas can easily produce signals that interfere with the operation of GSO and NGSO satellites that are adjacent to the target satellite.

There are strict regulatory requirements imposed by regulatory agencies such as the Federal Communications Commission (FCC) and International Telecommunications Union (ITU) on the maximum power spectral density (PSD) that can be radiated to adjacent GSO and NGSO satellites. When a plurality of mobile platforms are transmitting RF signals to a common transponder within a given coverage region, it becomes very difficult to manage the PSD of individual mobile platforms to ensure that the "aggregate" PSD never exceeds the regulatory limits, while simultaneously attempting to maximize the total number of mobile platforms accessing the transponder.

One previously developed approach for dealing with the above-described problem of managing the transmissions of a plurality of transmitters accessing a single transponder has been to employ multi-channel-per-carrier (MCPC) operation. With this method, which was developed by Intelsat, each VSAT antenna is allocated a portion of the satellite transponder bandwidth. In other words, this method uses frequency division multiple access (FDMA) to allow multiple terminals to

simultaneously access the transponder. Using this technique, only one terminal (carrier) is transmitting in each channel at a PSD below the regulatory limit. This method of operation is wasteful of PSD because the unused PSD in each channel cannot be used. Furthermore, MCPC cannot be adapted to efficient PSD operation

5 because channel management becomes prohibitively complex, especially for applications using mobile terminals. This invention provides a simple link management solution for mobile platforms having time varying PSDs. Similarly, time division multiple access (TDMA) methods have only one terminal accessing a channel or time slot at any time so that the available channel PSD is fixed and usually exceeds

10 the requirements of the channel user. Therefore, PSD is wasted and cannot be reused. With these previously developed methods, individual accesses do not usually occur at the maximum allowable PSD, so that there will usually be some amount of PSD that is unused or wasted in every channel. This is the primary drawback of all previously developed methods.

15 The above described scenarios where only one terminal is transmitting within a channel or time slot at any given time thus present the classic problem of allocating a fixed size resource (i.e., PSD) to variable sized users. The fixed size resource must then be sized for the worst case (i.e., maximum PSD) user so there will always be inefficiency with these approaches. If the variations between users is small, then the

20 inefficiency can be reasonably low, but for any other application where there are large differences in user PSD requirements, the inefficiency becomes substantial.

Still another prior developed method of dealing with multiple terminals

accessing a single transponder is code division multiple access (CDMA), whereby a single channel is shared by multiple users. More efficient operation can be achieved with CDMA because large pools of users share a common resource (i.e., the transponder). Most CDMA systems operate without restriction on aggregate PSD (such as cell phone systems, for example). Typically, user terminals or handsets transmit with a power level required to overcome interference, without any regulatory restrictions on aggregate PSD. With this method of operation there are statistical variations in PSD levels and interference between users that would be unacceptable for high-quality satellite data communication systems. In contrast, satellite based communication systems often must operate within strict regulatory limits on aggregate PSD. This is especially critical in the Fixed Satellite Services (FSS) portion of the Ku-band, where Mobile Satellite Services (MSS) have been given a secondary frequency allocation by the ITU, and must guarantee non-interference with primary FSS systems. Thus, managing CDMA satellite systems in a PSD limited environment requires new methods for managing the aggregate PSD produced by all of the user terminals, especially when the terminals are to be disposed on mobile platforms such as aircraft.

It is therefore a principal object of the present invention to provide a system and method for managing the aggregate PSD produced by a plurality of mobile terminals operating within a given coverage region, and accessing a shared satellite-based transponder, such that the aggregate PSD does not exceed regulatory PSD limits for interference with GSO and NGSO satellites.

It is still another object of the present invention to provide a system and method

for using a central control system to monitor the PSD of each one of a plurality of mobile terminals operating within a given coverage region and accessing a shared satellite-based transponder, and to ensure that the aggregate PSD of the RF signals to be transmitted by the mobile terminals does not exceed a predetermined regulatory PSD limit and which is used to authorize RF transmissions by each of the mobile terminals.

It is still a further object of the present invention to provide an apparatus and method for monitoring and authorizing transmissions from a plurality of mobile terminals which each produce RF signals having differing PSDs, and which operates to manage access to a satellite-based transponder by the mobile terminals such that the aggregate PSD of the transmissions from all of the mobile terminals does not exceed a predetermined regulatory PSD limit. It is a further object of this method to provide a control system that will deny access to the satellite-based transponder if such access would cause the aggregate PSD to exceed the predetermined regulatory PSD limit, and to permit access to the transponder if the aggregate PSD is below the regulatory limit.

#### SUMMARY OF THE INVENTION

The above and other objects are provided by a method and apparatus for providing television and data services to mobile platforms. More particularly, the invention relates to a method and apparatus for managing the aggregate PSD of a

plurality of mobile terminals operating within a given coverage region and accessing a shared satellite-based transponder, such that the aggregate PSD does not exceed predetermined regulatory PSD limits for GSO and NGSO interference. In one preferred embodiment, the system of the present invention makes use of a ground-based segment having a central controller.

Each mobile terminal sends a "request-for-authorization-to-transmit" signal to the satellite-based transponder, which is then relayed by the transponder to the ground station, which receives the request and forwards it to the central controller. This signal includes a variety of information which enables the central controller to determine the PSD of the RF signal which will be transmitted by the particular mobile terminal if authorization to transmit is given. This information typically includes the location of the mobile terminal (i.e., the location in terms of latitude and longitude of the mobile platform associated with the mobile terminal), the location of the satellite-based transponder to which it is transmitting, the type and design of transmit antenna being used on the mobile terminal, the transmit power ( $P_t$ ) of the mobile terminal, and the pointing coordinates for the mobile transmit antenna (i.e. azimuth and elevation angles). Optionally the mobile platform heading, pitch and roll angles may be sent instead of the antenna coordinates. The central controller uses the above information to determine the PSD of the RF signal to be transmitted by the mobile terminal and adds it to the aggregate PSD of the other mobile platforms sharing the transponder channel. The central controller then compares the new aggregate PSD to a predetermined regulatory PSD limit to ensure that the PSD limit will not be exceeded if

the mobile terminal is allowed to transmit. If the PSD limit will not be exceeded, then the central controller sends an "authorization-to-transmit" signal via the satellite-based transponder to the mobile terminal authorizing the RF transmission by the mobile terminal.

5 Every mobile terminal operating within the coverage region sends a request-to-transmit signal to the central controller via the satellite-based transponder. The central controller determines the PSD of each mobile terminal and sums the PSDs together to produce the aggregate PSD. Only if the aggregate PSD is below the regulatory PSD limit does the central controller then authorize a particular mobile terminal to transmit.

10 If the PSD of any subsequent mobile terminal requesting authorization to transmit is determined by the central controller to produce an aggregate PSD that would exceed the predetermined regulatory PSD limit, then the central controller will deny authorization to transmit to the mobile terminal making the request. In this manner a plurality of mobile terminals are allowed to access the satellite-based transponder  
15 provided the aggregate PSD of the RF transmissions from each mobile platform do not exceed the predetermined regulatory PSD limit. In this manner, the efficiency of the system is also maximized by operating near the regulatory PSD limit (with appropriate margins for errors in estimating PSD). Making full use of the capacity of expensive satellite transponders is necessary for reducing system operating costs and  
20 maximizing profitability.

To accomplish the above-described operation, the RF transmit signal from each mobile terminal is spread in frequency to reduce the PSD at any given frequency. In

the preferred embodiment, the PSD of each mobile terminal is spread over the entire bandwidth,  $B$ , of the satellite transponder. Multiple mobile terminals simultaneously share access to the return link transponder using this method. Typically, tens or even hundreds of mobile terminals may simultaneously share a transponder while the central controller maintains the aggregate PSD below the regulatory limits.

In an alternative implementation, the PSD of each mobile terminal is spread over a predetermined frequency channel within the transponder bandwidth such that the transponder bandwidth is divided into a plurality of  $N$  frequency channels and the channel bandwidth is  $B/N$  (where " $B$ " represents the full transponder bandwidth).

Each mobile terminal is assigned to a particular channel and spreads its signal over the full channel bandwidth. Multiple mobile terminals are assigned to operate in each channel while the central control system maintains the aggregate PSD in each channel below the regulatory limits.

In both of the above embodiments of the invention, a means of spreading the transmit signal in frequency is required. While a number of different commonly used spreading methods may be used with this invention, the preferred spreading method is direct sequence spread spectrum, which employs a pseudo noise (PN) code to disperse the signal energy over a predetermined frequency band. Multiple mobile terminals can simultaneously access a single transponder or transponder channel by using different PN spreading codes. After the signals from the mobile terminals are received by the satellite transponder and re-transmitted to the ground, a receiver in the ground station separates the signals from each mobile terminal by using a filter that is

matched to the particular PN code assigned to each mobile terminal. Interference between multiple mobile terminals can be minimized by time synchronizing the PN code transmissions from multiple mobile terminals, but in practice this is difficult to accomplish with mobile terminals, so the preferred embodiment uses asynchronous  
5 code transmissions.

A key feature of the invention is that it provides demand assigned multiple access to mobile terminals. Mobile terminals request and release data rate according to instantaneous demand for data rate by users on the mobile terminals. The transmit power required by the mobile terminal to transmit to the satellite and back to the  
10 ground station is proportional to data rate. So the central controller processes requests for different data rates from the mobile terminals as changes in transmit power, and hence PSD. Thus, requests for increased data rate are effectively requests for more PSD, and the central controller must evaluate whether the aggregate PSD is less than the PSD regulatory limit before the request is granted, in  
15 the manner previously described. Alternatively, if the mobile terminal is releasing unused data rate, then the PSD contribution is subtracted from the aggregate so that this PSD may be made available to other mobile terminals sharing the transponder or channel.

The preferred implementations of the present invention further make use of a  
20 closed-loop power control method by which the central controller communicates with each of the mobile terminals within the coverage region and instructs each of the mobile terminals to increase or decrease its transmit power as needed to maintain



communication link closure. With the closed loop control method, the central controller measures the signal strength or Eb/No of the received RF signals and periodically sends commands back to the mobile terminals to increase or decrease the transmit power of each such mobile terminal to maintain communication link closure.

5 In the interval between power control commands, the mobile terminal must maintain a constant effective isotropic radiated power ("EIRP") as the mobile platform changes attitude. The central controller recalculates the PSD contribution for each mobile terminal when the transmit power is adjusted by the power control system.

10 In an alternative open-loop power control implementation, each mobile terminal determines its position on the Earth and its attitude. It is also provided with stored information concerning the location of the satellite-based transponder with which it will be communicating. From this information the mobile terminal estimates return link losses which will occur during transmission of its RF signals to the satellite and adjusts its transmit power accordingly. With this method, the mobile terminal must periodically  
15 inform the central controller of its transmit power, position and attitude so that its PSD contribution can be monitored.

#### BRIEF DESCRIPTION OF THE DRAWINGS

20 The various advantages of the present invention will become apparent to one skilled in the art by reading the following specification and subjoined claims and by referencing the following drawings in which:

Figure 1 is a simplified block diagram drawing illustrating the three major components of the system of the present invention;

Figure 2 is a block diagram of the mobile system carried on each mobile platform.

5        Figure 3 illustrates a plurality of satellites disposed along a geostationary arc adjacent to a target satellite, and the potential interference that can be caused by the RF transmissions intended for the target satellite;

10        Figure 4 illustrates a coverage region represented by the continental United States, with a reference VSAT antenna located at the approximate geographical center of the coverage region;

Figure 5 is a graph of the maximum EIRP spectral density along a geostationary arc permitted under present day FCC regulations for the reference VSAT antenna located in Wichita, Kansas and the target satellite at 93 degrees west longitude, as shown in Figure 4;

15        Figure 6 is a simplified graph illustrating the aggregation of PSD from a plurality of mobile terminals that have spread their signals over the entire transponder bandwidth, and also showing the regulatory PSD limit that must not be exceeded;

20        Figure 7 is a flowchart of the basic steps of operation performed by the system of the present invention in managing access and data rate requests on a shared satellite transponder;

Figure 8 is an illustration of three aircraft in different locations within a common coverage region, which are all accessing a single satellite-based transponder;

Figures 9-11 are graphs of the PSD along the GEO arc of the RF signals transmitted by each of the three aircraft shown in Figure 8; and

Figure 12 is a graph illustrating how the aggregate PSD of the signals from the three aircraft shown Figure 8 remains below the regulatory PSD limit at all points along the GEO arc.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Referring to Figure 1, there is shown a system 10 in accordance with a preferred embodiment of the present invention for providing data content to and from a plurality of moving platforms 12a-12f in one or more distinct coverage regions 14a and 14b. The system 10 generally comprises a ground segment 16, a plurality of satellites 18a-18f forming a space segment 17, and a mobile system 20 disposed on each moving platform 12. The moving platforms could comprise aircraft, cruise ships or any other moving vehicle. Thus, the illustration of the moving platforms 18 as aircraft in the figures herein, and the reference to the mobile platforms as aircraft throughout the following description should not be construed as limiting the applicability of the system 10 to only aircraft.

The space segment 17 may include any number of satellites 18 in each coverage region 14a and 14b needed to provide coverage for each region. Satellites 18a, 18b, 18d and 18e are preferably Ku or Ka-band satellites. Satellites 18c and 18f

are Broadcast Satellite Services (BSS) satellites. Each of the satellites 18 are further located in a geostationary orbit (GSO) or a non-geostationary orbit (NGSO). Examples of possible NGSO orbits that could be used with this invention include low Earth orbit (LEO), medium Earth orbit (MEO) and highly elliptical orbit (HEO). Each of the satellites 18 includes at least one radio frequency (RF) transponder, and more preferably a plurality of RF transponders. For example satellite 18a is illustrated having four transponders 18a<sub>1</sub>-18a<sub>4</sub>. It will be appreciated that each other satellite 18 illustrated could have a greater or lesser plurality of RF transponders as required to handle the anticipated number of mobile platforms 12 operating in the coverage area.

The transponders provide "bent-pipe" communications between the aircraft 12 and the ground segment 16. The frequency bands used for these communication links could comprise any radio frequency band from approximately 10MHz to 100GHz. The transponders preferably comprise Ku-band transponders in the frequency band designated by the Federal Communications Commission (FCC) and the International Telecommunications Union (ITU) for fixed satellite services FSS or BSS satellites.

Also, different types of transponders may be employed (i.e., each satellite 18 need not include a plurality of identical types of transponders) and each transponder may operate at a different frequency. Each of the transponders 18a<sub>1</sub>-18a<sub>4</sub> further include wide geographic coverage, high effective isotropic radiated power (EIRP) and high gain/noise temperature (G/T).

With further reference to Figure 1, the ground segment 16 includes a ground station 22 in bi-directional communication with a content center 24 and a network

operations center (NOC) 26. A second ground station 22a located in the second coverage area 14b may be used if more than one distinct coverage area is required for the service. In this instance, ground station 22a would also be in bi-directional communication with the NOC 26 via a terrestrial ground link or any other suitable means for establishing a communication link with the NOC 26. The ground station 22a would also be in bi-directional communication with a content center 24a. For the purpose of discussion, the system 10 will be described with respect to the operations occurring in coverage region 14a. However, it will be understood that identical operations relative to the satellites 18d-18f occur in coverage region 14b. It will also be understood that the invention may be scaled to any number of coverage regions 14 in the manner just described.

The ground station 22 comprises an antenna and associated antenna control electronics needed for transmitting data content to the satellites 18a and 18b. The antenna of the ground station 22 may also be used to receive data content transponded by the transponders 18a<sub>1</sub> - 18a<sub>4</sub> originating from each mobile system 20 of each aircraft 12 within the coverage region 14a. The ground station 22 may be located anywhere within the coverage region 14a. Similarly, ground station 22a, if incorporated, can be located anywhere within the second coverage area 14b.

The content center 24 is in communication with a variety of external data content providers and controls the transmission of video and data information received by it to the ground station 22. Preferably, the content center 24 is in contact with an Internet service provider (ISP) 30, a video content source 32 and a public switched

telephone network (PSTN) 34. Optionally, the content center 24 can also communicate with one or more virtual private networks (VPNs) 36. The ISP 30 provides Internet access to each of the occupants of each aircraft 12. The video content source 32 provides live television programming, for example, Cable News Network (CNN) and ESPN. The NOC 24 performs traditional network management, user authentication, accounting, customer service and billing tasks. The content center 24a associated with the ground station 22a in the second coverage region 14b would also preferably be in communication with an ISP 38, a video content provider 40, a PSTN 42, and optionally a VPN 44. An optional air telephone system 28 may also be included as an alternative to the satellite return link.

Referring now to Figure 2, the mobile system 20 disposed on each aircraft 18 will be described in greater detail. Each mobile system 20 includes a data content management system in the form of a router/server 50 (hereinafter "server") which is in communication with a communications subsystem 52, a control unit and display system 54, and a distribution system in the form of a local area network (LAN) 56. Optionally, the server 50 can also be configured for operation in connection with a National Air Telephone System (NATS) 58, a crew information services system 60 and/or an in-flight entertainment system (IFE) 62.

The communications subsystem 52 includes a transmitter subsystem 64 and a receiver subsystem 66. The transmitter subsystem 64 includes an encoder 68, a modulator 70 and an Up-converter 72 for encoding, modulating and up-converting data content signals from the server 50 to a transmit antenna 74. The receiver

subsystem 66 includes a decoder 76, a demodulator 78 and a down-converter 80 for decoding, demodulating and down-converting signals received by the receive antenna 82 into baseband video and audio signals, as well as data signals. While only one receiver subsystem 66 is shown, it will be appreciated that preferably a plurality of receiver subsystems 66 will typically be included to enable simultaneous reception of RF signals from a plurality of RF transponders. If a plurality of receiver subsystems 66 are shown, then a corresponding plurality of components 76-80 will also be required.

The signals received by the receiver subsystem 66 are then input to the server 50. A system controller 84 is used to control all subsystems of the mobile system 20. The system controller 84, in particular, provides signals to an antenna controller 86 which is used to electronically steer the receive antenna 82 to maintain the receive antenna pointed at a particular one of the satellites 18, which will hereinafter be referred to as the "target" satellite. The transmit antenna 74 is slaved to the receive antenna 82 such that it also tracks the target satellite 18. It will be appreciated that some types of mobile antennas may transmit and receive from the same aperture. In this case the transmit antenna 74 and the receive antenna 82 are combined into a single antenna.

With further reference to Figure 2, the local area network (LAN) 56 is used to interface the server 50 to a plurality of access stations 88 associated with each seat location on board the aircraft 12a. Each access station 88 can be used to interface the server 50 directly with a user's laptop computer, personal digital assistant (PDA) or other personal computing device of the user. The access stations 88 could also each

comprise a seat back mounted computer/display. The LAN 56 enables bi-directional communication of data between the user's computing device and the server 50 such that each user is able to request a desired channel of television programming, access a desired website, access his/her email, or perform a wide variety of other tasks independently of the other users on board the aircraft 12.

The receive and transmit antennas 82 and 74, respectively, may comprise any form of steerable antenna. In one preferred form, these antennas comprise electronically scanned, phased array antennas. Phased array antennas are especially well suited for aviation applications where aerodynamic drag is important considerations. One particular form of electronically scanned, phased array antenna suitable for use with the present invention is disclosed in U.S. Patent no. 5,886,671, assigned to The Boeing Co.

Referring further to Figure 1, in operation of the system 10, the data content is preferably formatted into Internet protocol (IP) packets before being transmitted by either the ground station 22, or from the transmit antenna 74 of each mobile system 20. For the purpose of discussion, a transmission of data content in the form of IP packets from the ground station 22 will be referred to as a "forward link" transmission. IP packet multiplexing is also preferably employed such that data content can be provided simultaneously to each of the aircraft 12 operating within the coverage region 14a using unicast, multicast and broadcast transmissions.

The IP data content packets received by each of the transponders 18a<sub>1</sub> - 18a<sub>4</sub> are then transponded by the transponders to each aircraft 12 operating within the



coverage region 14a. While multiple satellites 18 are illustrated over coverage region 14a, it will be appreciated that at the present time, a single satellite is capable of providing coverage to an area encompassing the entire continental United States. Thus, depending upon the geographic size of the coverage region and the mobile platform traffic anticipated within the region, it is possible that only a single satellite incorporating a single transponder may be needed to provide coverage for the entire region. Other distinct coverage regions besides the continental United States include Europe, South/Central America, East Asia, Middle East, North Atlantic, etc. It is anticipated that in service regions larger than the continental United States, that a plurality of satellites 18 each incorporating one or more transponders may be required to provide complete coverage of the region.

The receive antenna 82 and transmit antenna 74 are each preferably disposed on the top of the fuselage of their associated aircraft 18. The receive antenna 74 of each aircraft receives the entire RF transmission of encoded RF signals representing the IP data content packets from at least one of the transponders 18a<sub>1</sub> - 18a<sub>4</sub>. The receive antenna 82 receives horizontally polarized (HP) and vertically polarized (VP) signals which are input to at least one of the receivers 66. If more than one receiver 66 is incorporated, then one will be designated for use with a particular transponder 18a<sub>1</sub> - 18a<sub>4</sub> carried by the target satellite 18 to which it is pointed. The receiver 66 decodes, demodulates and down-converts the encoded RF signals to produce video and audio signals, as well as data signals, that are input to the server 50. The server operates to filter off and discard any data content not intended for users on the aircraft

18 and then forwards the remaining data content via the LAN 56 to the appropriate access stations 88. In this manner, each user receives only that portion of the programming or other information previously requested by the user. Accordingly, each user is free to request and receive desired channels of programming, access email, access the Internet and perform other data transfer operations independently of all other users on the aircraft 12a.

An advantage of the present invention is that the system 10 is also capable of receiving DBS transmissions of live television programming (e.g., news, sports, weather, entertainment, etc.). Examples of DBS service providers include DirecTV and Echostar. DBS transmissions occur in a frequency band designated for broadcast satellite services (BSS) and are typically circularly polarized in North America. Therefore, a linear polarization converter may be optionally added to receive antenna 82 for receiving broadcast satellite services in North America. The FSS frequency band that carries the data services and the BSS frequency band that carries DBS transmissions are adjacent to each other in the Ku-band. In one optional embodiment of the system 10, a single Ku-band receive antenna can be used to receive either DBS transmissions from DBS satellites 18c and 18f in the BSS band or data services in the FSS band from one of the FSS satellites 18a or 18b, or both simultaneously using the same receive antenna 82. Simultaneous reception from multiple satellites 18 is accomplished using a multi-beam receive antenna 82 or by using a single beam receive antenna 82 with satellites co-located in the same geostationary orbit slot.

Rebroadcast television or customized video services are received and

processed by the mobile system 20 in exactly the same way. Rebroadcast or customized video content is obtained from the video content source 32 and transmitted via the ground station 22 to the FSS satellites 18a and 18b. The video content is appropriately encoded for transmission by the content center 24 before  
5 being broadcast by the ground station 22. Some customization of the rebroadcast content may occur on the server 50 (Figure 2) of the mobile system 20 to tailor advertisements and other information content to a particular market or interest of the users on the aircraft 12.

The bulk of data content provided to the users on each aircraft 12 is provided  
10 by using a private portal data content. This is implemented as a set of HTML pages housed on the server 50 of each mobile system 20. The content is kept fresh by periodically sending updated portions from a ground-based server located in content center 24, and in accordance with a scheduling function controlled by the NOC 26 of the ground segment 16. The server 50 can readily be configured to accept user log-  
15 on information to support authentication and authorization of users and to keep track of user and network accounting information to support a billing system. The authorization and accounting systems can be configured to communicate with the ground segment 16 to transfer accumulated data at convenient intervals to the NOC 26.

20 The system 10 of the present invention also provides direct Internet connectivity via satellite links for a variety of purposes, such as when a user on board the aircraft 12 desires to obtain data content that is not cached on server 50, or as an

avenue for content sources to provide fresh content for the private portals. The server may be used to cache the most frequently requested web pages as well as to host a domain name system (DMS) look-up table of the most frequently accessed domains. The DMS look-up table is preferably maintained by the content center 24 and is periodically updated on the mobile system 20. Refreshing of the cached content of the portal may be accomplished by in-flight, periodic "pushed" cache refresh or at the gate of an airport terminal using any form of wired or wireless connection to the aircraft 18, or via a manual cache refresh by a crew member of the aircraft 12 carrying on board a CD ROM and inserting it into the cache server. The invention 10 implements the in-flight periodic, pushed cache refresh updates over the satellite links. Preferably, refreshing of the cache content occurs during periods of low demand on the satellite links.

The optional air telephone system 28 can also be employed with the system 10 when line-of-sight links to the ground segments 16 are established to provide the physical infrastructure. For example, an optional implementation incorporating an air telephone systems can be used for low data rate return links (2.4kbps to 9.6kbps). It will be recognized that other regions, such as Europe and Asia, have similar air telephone systems that communicate with aircraft using terrestrial cellular communications links. Air telephone systems (e.g., NATS in North America) were designed for carrying telephony traffic, but have been adapted to pass single user per call, point to point analog modem data. With the present invention, the aggregate return link traffic from the mobile system 20 is combined in server/router 50, a switch

or a PBX (not shown) and then coupled into the air telephone return link via an analog modem or directly via a digital interface (e.g., CEPT-E1). Expanded capacity can be provided by establishing multiple simultaneous connections from the router/switch into the air telephone system. Multi-link, point to point (PPP) data encapsulation can be used to accomplish the splitting/recombining of the data streams between the airborne and NOC routers. In addition to expanded capacity, the tolerance to a single connection failure is increased with multiple connections through the air telephone system. The hand-over between separate air telephone system antenna towers is managed by the air telephone system and the connection between the respective air and ground routers is automatically maintained as the mobile platform traverses multiple coverage areas.

A significant anticipated application of the present invention is in connection with aircraft that fly extended periods of time over water and remote regions (including polar regions) of the Earth where there is little or no current satellite transponder coverage. The present invention can operate with GSO satellites launched in the future into orbit over oceans, or a new constellation of NGSO satellites to provide full Earth coverage (including the poles).

Referring further to Figure 1, a transmission of data content from the aircraft 12a to the ground station 22 will be described. This transmission is termed a "return link" transmission. The antenna controller 86 causes the transmit antenna 74 to maintain the antenna beam thereof pointed at the target satellite 18a. The channels used for communication from each mobile system 20 back to the ground station 22

represent point-to-point links that are individually assigned and dynamically managed by the NOC 26 of the ground segment 16. For the system 10 to accommodate several hundred or more aircraft 12, multiple aircraft will need to be assigned to each transponder carried by a given satellite 18. The preferred multiple access methods for the return link are code division multiple access (CDMA), frequency divisional multiple access (FDMA), time division multiple access (TDMA) or combinations thereof. Thus, multiple mobile systems 20 may be assigned to a single transponder 18a<sub>1</sub> - 18a<sub>4</sub>. Where a greater number of aircraft 12 incorporating a mobile system 20 are operated within the coverage region 14a, then the number of transponders required increases accordingly.

The receive antenna 82 may implement a closed-loop tracking system for pointing the antenna beam and for adjusting the polarization of the antennas based on receive signal amplitude. The transmit antenna 74 is slaved to the point direction and polarization of the receive antenna 82. An alternative implementation could use an open-loop tracking method with the pointing direction and polarization determined by knowledge of mobile platform position and attitude using an on-board inertial reference unit (IRU) and knowledge of the location of the satellites 18.

Encoded RF signals are transmitted from the transmit antenna 74 of the mobile system 20 of a given aircraft 12 to an assigned one of the transponders 18a<sub>1</sub> - 18a<sub>4</sub>, and transponded by the designated transponder to the ground station 22. The ground station 22 communicates with the content center 24 to determine and provide the appropriate data being requested by the user (e.g., content from the world wide web,

email or information from the user's VPN).

An additional concern that must be taken into account with the system 10 is the potential for interference that may result from the small aperture size of the receive antenna 82. The aperture size of the receive antenna 82 is typically smaller than  
5 conventional "very small aperture terminal" (VSAT) antennas. Accordingly, the beam from the receive antenna 82 may encompass adjacent satellites along the geosynchronous arc. This can result in interference from satellites other than the target satellite being received by a particular mobile system 20. To overcome this potential problem, the system 10 preferably uses a lower than normal forward link data  
10 rate that overcomes the interference from adjacent satellites. For example, the system 10 operates at a preferred forward link data rate of at least about 5Mbps per transponder, using a typical FSS Ku-band transponder (e.g., Telstar-6) and an antenna having an active aperture of about 17 inches by 24 inches (43.18cm by 60.96cm). For comparison purposes, a typical Ku-band transponder usually operates  
15 at a data rate of approximately 30 Mbps using conventional VSAT antennas.

Using a standard digital video broadcast (DVB) waveform, the forward link signal typically occupies less than 8 MHz out of a total transponder width of 27 MHz. However, concentrating the transponder power in less than the full transponder bandwidth could create a regulatory concern. FCC regulations presently regulate the  
20 maximum effective isotropic radiated power (EIRP) spectral density from a transponder to prevent interference between closely spaced satellites. Accordingly, in one preferred embodiment of the present invention, spread spectrum modulation

techniques are employed in modulator 70 to "spread" the forward link signal over the transponder bandwidth using well known signal spreading techniques. This reduces the spectral density of the transponded signal, thus eliminating the possibility of interference between two or more mobile systems 20.

5 It is also equally important that the transmit antenna 74 meets regulatory requirements that prevent interference to satellites adjacent to the target satellite 18. The transmit antennas used in most mobile applications also tend to be smaller than conventional VSAT antennas (typically reflector antennas that are 1 meter in diameter). Mobile transmit antennas used for aeronautical applications should have  
10 low aerodynamic drag, be lightweight, have low power consumption and be of relatively small size. For all these reasons, the antenna aperture of the transmit antenna 74 is preferably smaller than a conventional VSAT antenna. VSAT antennas are sized to create an antenna beam that is narrow enough to illuminate a single FSS satellite along the geosynchronous arc. This is important because FSS satellites are  
15 spaced at 2° intervals along the geosynchronous arc. The smaller than normal antenna aperture of the transmit antenna 74 used with the present invention, in some instances, may create an antenna beam that is wide enough to irradiate satellites that are adjacent to the target satellite along the geosynchronous arc with RF energy having a power spectral density that could create an interference problem.

20 The above potential problem is eliminated in one preferred implementation of the present invention by a method for operating and managing multiple satellite return links through a common shared transponder (e.g., satellite transponder 18a<sub>1</sub>), and



more specifically managing the maximum radiated PSD of the RF signals transmitted by each of the mobile systems 20 such that the aggregate PSD does not exceed a maximum regulatory PSD limit. This implementation of the present invention thus enables efficient return link system capacity management in a communication system comprised of large quantities (hundreds or thousands) of aircraft 12 each having a mobile system 20, and operating with a wide variety of different antennas. The present invention also takes into account different data rates at which each of the mobile systems 20 may be transmitting, as well as the effects of the location of each aircraft 12 over a wide geographic coverage region, for example, the continental United States.

The above-described interference problem is illustrated in Figure 3. The mobile system 20 radiates power towards a "target" satellite 18a. However, because of the small aperture transmit antenna 74 that is used with the mobile system 20, it causes radiated energy to impinge on not just the target satellite 18a, but potentially satellites 18g through 18j which are disposed adjacent the target satellite 18a along the geostationary arc 90. This can create interference with the operation of satellites 18g through 18j, so regulatory agencies such as the FCC and ITU strictly regulate the PSD of the RF signals that are broadcast. The regulatory requirements for operation of mobile satellite systems in the Ku frequency band are that the aggregate adjacent satellite interference potential does not exceed, at any time, that which would be caused by a single Earth station operating with a power into its antenna of - 14dBW/4KHz and an antenna that complies with the side lobe requirements of section

25.209(a) of the FCC radio regulations for all angles along the visible portion of the geostationary satellite orbit. Similar regulatory limits apply to operation in Europe and other regions of the world. The FCC further requires that the RF transmissions from any number of mobile terminals are only allowed to provide a deterministic, aggregate  
5 adjacent satellite interference which does not exceed, at any time, that which would be caused by a single VSAT earth station. Still further, the FCC requires that independent mobile units may transmit only on command from a central hub terminal via the forward link. Accordingly, the operation of multiple independent mobile terminals must not produce an aggregate PSD which exceeds, at any time, a  
10 predetermined PSD limit, and further that each of the mobile terminals can only transmit on command from a central hub terminal.

An example of an implementation of the invention is shown in Figure 4, where the coverage region is shown as the continental United States ("CONUS"). The reference ground station 22 of the ground segment 16 is located in Wichita, Kansas.  
15 Satellite 18' is a geostationary satellite (in this example Telestar 6 at 93 degrees West). When operating within CONUS, it is the object of this invention that the aggregate interference produced by all mobile terminals sharing a transponder on, for instance Telstar 6 satellite, not exceed the maximum permitted EIRP spectral density radiated along the geostationary 90° arc by a reference ground station 22 located in  
20 the center of the CONUS coverage region, as illustrated in Figure 4. The EIRP spectral density pattern from a single mobile system 20 is determined by its transmitted power, antenna gain pattern and occupied signal bandwidth. Given a

particular antenna (with a fixed gain), the only parameters available to control PSD are transmit power (P) and signal bandwidth (B). Mobile antennas are necessarily low gain antennas, so that higher transmit power is required to achieve the EIRP necessary to close the communication link with the satellite transponder 18a<sub>1</sub>. The

5 EIRP can be expressed as the product of the gain (G) and transmit power (P<sub>t</sub>). Accordingly, if a certain desired EIRP is required to close the communication link, then the only variable available to control EIRP density is the signal bandwidth (B). The EIRP spectral density can thus be expressed as:  $EIRP/B$ .

In practice, for low gain (small aperture) antennas, operating at moderate to  
10 high data rates (greater than 16Kbps), using typical FSS transponders, the bandwidth (B) of the signal is insufficient to meet the regulatory requirements without "spreading" the signal in frequency. While there are many previously developed methods for frequency spreading, the specific spreading technique used is not critical to the operation of the present invention; the only consideration is that some spreading  
15 method be employed to control bandwidth (B) so as to sufficiently reduce the EIRP spectral density of the transmitted signal to meet regulatory requirements, and that the spreading method permit multiple mobile terminals to access a common frequency channel without causing unacceptable interference with each other. One such existing method of spreading, which is the preferred method for use with this invention,  
20 is direct sequence spread spectrum, as previously mentioned. Each of the mobile systems 20 is assigned a unique pseudo noise spreading code by the central controller 26 to facilitate this spreading.

The network operations center 26 is used to determine the PSD contribution of each mobile system 20 accessing (or attempting to access) the system 10. The information used by the network operations center 26 to make this determination is:

- 1) the location of each mobile system 20;
- 2) the scan angles (azimuth and elevation) of the mobile transmit antenna;
- 3) the location of the satellite transponder being accessed;
- 4) the type and design of transmit antenna being used with the mobile system 20; and
- 5) the transmit power ( $P_t$ ) of the mobile system 20.

The network operations center 26 has models for each type of transmit antenna that could be used by the different mobile systems. The PSD along the geostationary arc  $G_i(\theta)$  is calculated from factors 1-5 above using well known methods and formulas. The EIRP spectral density (i.e., PSD) for each user can then be calculated as follows:

$$\text{EIRP}_i(\theta) = P_t G_i(\theta)$$

For regulatory compliance, the aggregate PSD can be determined by the following formula:

$$\sum_{i=1}^n \text{EIRP}_i(\theta)/B_s \leq \text{Regulatory Mask}(\theta)$$

for all of  $\theta$

where:

$EIRP_i(\theta) = EIRP$  of  $i^{th}$  mobile system 20 in the direction of  $\theta$ .

$B_s$  = spreading bandwidth.

$N$  = number of mobile systems 20 simultaneously accessing the system

The Regulatory Mask ( $P$ ) is defined below and also illustrated in Figure 5:

FCC Antenna Performance Standards (25.209)

Gain Along the GEO Arc	
Gain (dBi)	Theta (deg)
29-25 log $\theta$ ,	$1 \leq \theta \leq 7$
+8,	$7 \leq \theta \leq 9.2$
32-25 log $\theta$ ,	$9.2 \leq \theta \leq 48$
-10,	$48 \leq \theta \leq 180$

Gain in Other Directions	
Gain (dBi)	Theta (deg)
32-25 log $\theta$ ,	$1 \leq \theta \leq 48$
-10,	$48 \leq \theta \leq 180$

( $\theta$ =angle from main beam center)

Gain in other directions applies to all angles off of the GEO arc (referred to as "off-axis"). The "off-axis" gain and EIRP are of concern to regulatory agencies because of potential interference with non-geostationary orbit (NGSO) satellite systems.

The invention creates an off-axis EIRP regulatory mask using the procedure previously described for creating the regulatory mask along the GEO Arc (on-axis).

The "off-axis" aggregate EIRP spectral density is calculated by summing the off-axis EIRP spectral density contributions for each user, as previously described. Both the on-axis and off-axis aggregate EIRP spectral density must be less than the regulatory

limit.

The method of the present invention requires that all mobile systems 20 spread their transmit signal over a fixed bandwidth (B) where B is chosen to be large enough so that multiple user terminals can simultaneously access the system without exceeding the regulatory limits on total EIRP spectral density. In one preferred implementation, B is set equal to the bandwidth of the transponder 14. Typical Ku-band transponders have a bandwidth of 27MHz, 36 MHz or 54 MHz. These bandwidths are typically wide enough to allow multiple mobile systems 20 to simultaneously access a single return link transponder without exceeding regulatory limits. Figure 6 illustrates how the EIRP from multiple mobile terminals 20<sub>1</sub>-20<sub>n</sub> is spread over the full transponder bandwidth, and the resultant aggregate PSD is maintained below the regulatory limit.

A second important feature of the invention is the use of a single, central controller 26a which preferably is part of the network operation center 26 (Figure 1), that manages the use of the communication resources (i.e., the satellite-based transponders 18a<sub>1-4</sub>) and regulates access to the return link from the many mobile systems 20 operating within the coverage region. The invention also involves a control scheme for "Demand Assigned Multiple Access" (DAMA) by which each mobile system 20 requests and releases capacity (data rate) through the central controller 26a. The central controller 26a operates to regulate the usage of the satellite-based transponder to achieve maximum efficiency while maintaining regulatory compliance.

Because the PSD contribution from each mobile system 20 is dependent on its

location (and scan angle in the case of PAA antennas), and the location of the aircraft 12 will change over time, the PSD contribution from each mobile system 20 will be time varying. Accordingly, the system 10 requires that each mobile system 20 periodically reports its position and antenna pointing angle to the central controller 26a so that the PSD contribution of each mobile system to the aggregate can be updated. However, the PSD of the RF signal from any given mobile system 20 is expected to change slowly with time, even for relatively fast moving mobile platforms such as commercial jet aircraft. Accordingly, the central controller 26a typically need not calculate mobile system PSD patterns more often than once every several minutes. The exception to this statement occurs for mobile antenna that have gain patterns that are very sensitive to scan angle (such as phased array antennas). Mobile systems 20 having these antennas must report their parameters (position and antenna scan angle) more often when the aircraft or mobile system 20 is rapidly changing it's heading or attitude.

Referring to Figure 7, initially a determination is made, at step 100, whether a request for capacity from a mobile system  $20_n$  has been received by the central controller 26a or whether the mobile system  $20_n$  is releasing capacity. If a release of capacity has occurred, then the central controller 26a subtracts the PSD of the mobile system  $20_n$  releasing capacity from the aggregate PSD, as indicated at step 102.

Mobile system  $20_n$  is required to make a request for data rate (power) to the central controller 26a if it wishes to access the satellite-based transponder  $18a_1$  at a higher data rate than previously authorized, or if it wants initial authorization to operate

at a specific data rate (power). This request provides the central controller 26a with the information described above necessary for the central controller to determine the PSD of the RF signal to be transmitted by the mobile system 20<sub>n</sub>. At step 104, the central controller 26a then determines the PSD for both the on-axis (along the geostationary arc) and off-axis PSD of the transmit signal. At step 106, the central controller 26a adds this PSD to the aggregate PSD of all other mobile systems 20 currently accessing the satellite 18a. The central controller 26a then compares the new aggregate PSD against the regulatory PSD limit, as indicated at step 108. If this comparison indicates that the PSD of the mobile terminal 20<sub>n</sub> presently requesting access would cause the new aggregate PSD to exceed the predetermined regulatory PSD limit at any on-axis or off-axis offset angle, then access to the system 10 is denied, as indicated at step 110. Optionally, the request for additional capacity could be queued until the central controller 26a determines that additional capacity is available, as indicated at step 112. Only when sufficient PSD (i.e., capacity) becomes available (for instance by the release of data rate power by another mobile system 20) will the central controller 26a send an authorization to transmit signal to the mobile system 20<sub>n</sub>, as indicated at step 114.

In a similar manner, when a mobile system 20 no longer requires data rate (i.e., power), it is released to the central controller 26a so that it may be used by other mobile systems 20 sharing the transponder. No authorization by the central controller 26a is required before any mobile system 20 releases capacity. When the central controller 26a receives a release of data rate message from any mobile system 20 it



subtracts the PSD of the released data rate from the aggregate PSD to form a new aggregate PSD.

In practice, the aggregate PSD monitored by the central controller 26a will be changing constantly as various mobile systems 20 operating within the coverage region request and release capacity (i.e., data rate) to the system 10, as well as initiate and terminate their communication sessions with the system 10. Optionally, if a request for authorization to transmit from a particular mobile system 20 is denied by the central controller 26a, the system 10 could assign the requesting mobile system to another transponder having available PSD capacity. No authorization to transmit is provided to any mobile system 20 attempting to access the system 10 unless the central controller 26a has determined that its RF emissions will not cause the aggregate PSD of all mobile systems 20 currently accessing the system 10 to exceed the regulatory PSD limit.

All mobile systems 20 operating within the coverage region operate to periodically request and release power as their data rates, locations, orientations, etc. change during the course of a communication session. Each mobile system 20 transmits with only as much power as required to close its communication link with the transponder 18a, of the satellite 18a. This transmit power is a function of the data rate and many other parameters (i.e., slant range, antenna scan angle, etc.). The operation of adjusting the transmit power to maintain communication link closure may be referred to as "power control".

The system and method of the present invention can be used with any power

control method that allows the central controller 26a to be apprised of power changes (by periodic messaging, for instance). The preferred method of power control is a closed loop power control method. With the closed loop approach, the ground station receiver 22 periodically measures the receive signal strength of the RF signal transmitted by each mobile system 20 and periodically sends forward link commands back to the mobile system to either increase or decrease its transmit power to maintain communication link closure. The central controller 26a is also informed of any power changes so that the PSD contribution of the mobile system 20 can be updated. Another method of power control is the open loop approach, where each mobile system uses its known position on the Earth (provided usually via GPS) and its attitude, together with knowledge of the location of the satellite that it wants to communicate with, to determine the appropriate transmit power. Again, the transmit power selected is only that amount that permits the communication link with the satellite to be closed. With the open loop approach, the mobile system 20 must periodically report its transmitted power to the central controller 26a. With either approach, it is important that the central controller 26a be apprised of the transmit power of each mobile system 20 accessing the system 10.

Referring now to Figure 8, an example of the operation of the system and method of the present invention will be described. In this example, three aircraft 12a, 12b and 12c are each in communication with satellite transponder 18a. Aircraft 12a is over Seattle, Washington, aircraft 12b is over Houston, Texas and aircraft 12c is over Bangor, Maine. For this example, further suppose that each aircraft 12 has a different

sized phased array antenna (PAA), and that each is accessing the transponder of the satellite 18a, at a different data rate. Aircraft 12a is using a 256 element (16x16) active phased array antenna and is transmitting at 64Kbps using an EIRP of 34dBW. Aircraft 12b is using a larger 512 element PAA and transmits within an EIRP of 39dBW and a data rate of 256Kbps. Finally, aircraft 12c has an even larger aperture 1024 element PAA operating at 128Kbps and 37dBW. Each of the mobile systems 20 of each aircraft 12a, 12b and 12c are pointing their antennas at the satellite transponder 18a, which is located at 93° East longitude.

The EIRP spectral density of the RF signal from aircraft 12a is shown in Figure 9 and indicated by reference numeral 112. The EIRP spectral density of the RF signal from aircraft 12b is shown in Figure 10 and indicated by reference numeral 114. The EIRP spectral density of the RF signal from aircraft 12c is shown in Figure 11 and indicated by reference numeral 116. Figure 12 illustrates the aggregate PSD determined by the central controller 26a. The aggregate PSD from all three aircraft is denoted by waveform 118. From Figure 12, it can be seen that the aggregate PSD 118 remains below the on-axis regulatory PSD limit (i.e., "mask") 120 at all points along the geostationary arc. A similar check can be performed for off-axis PSD.

As described previously, the system 10 makes use of a model which enables the central controller 26a to accurately calculate the radiation pattern of the transmit antenna based on the aircraft-to-satellite beam pointing geometry. In actual operation, this antenna model is used by the central controller 26a so that antenna gain patterns can be computed for each type of antenna that will be used to access the system 10.

Knowing the transmit power, the gain pattern and the spreading bandwidth, a PSD pattern can be calculated for each mobile system 20, as indicated in Figures 9-11. It then becomes a routine summing operation to sum the PSD contributions from each mobile system 20 to calculate the aggregate PSD as shown in Figure 12. In this example, the aggregate PSD is less than the regulatory PSD limit so additional mobile systems 20 can be admitted access to the system 10 or existing users may increase their transmit power (i.e., data rate). Since data rate is proportional to transmit power, which is proportional to PSD, it can be said that the present invention manages power, PSD, data rate or capacity.

The method and apparatus of the present invention thus provides a means for managing and monitoring communications from a variety of mobile RF transmitting platforms to ensure that the aggregate PSD of all of the mobile platforms does not exceed predetermined regulatory limits. It is also an important advantage of the present invention that a central controller is used to receive and monitor requests for access to the system 10 from each of the mobile systems 20 so that close control can be maintained over the on-axis and off-axis aggregate PSD. By causing each mobile system 20 to transmit with only that amount of power needed to maintain communication link closure, the efficiency of the system 10 is maximized, thus allowing a large number of mobile systems to access the system 10 without causing the aggregate PSD to exceed regulatory limits.

Those skilled in the art can now appreciate from the foregoing description that the broad teachings of the present invention can be implemented in a variety of forms.

Therefore, while this invention has been described in connection with particular examples thereof, the true scope of the invention should not be so limited since other modifications will become apparent to the skilled practitioner upon a study of the drawings, specification and following claims.

WHAT IS CLAIMED IS:

1. A method for controlling a power spectral density (PSD) of a plurality of radio frequency (RF) signals output from a corresponding plurality of RF systems disposed on a corresponding plurality of independent mobile platforms operating within a given geographical coverage region, wherein the RF systems are transmitting RF signals  
5 simultaneously to a shared space-based RF transponder, to thus maintain an aggregate PSD of said RF signals within a predetermined regulatory PSD limit, the method comprising the steps of:

a) determining said PSD of said RF signals to be transmitted by each said RF system of each said mobile platform prior to said transmission of said RF signals  
10 from each said RF system;

b) summing said PSD of said RF signals from each said RF system to determine said aggregate PSD;

c) comparing said aggregate PSD to said predetermined regulatory PSD limit; and

15 d) authorizing transmissions by each of said RF systems only if said aggregate PSD is within said regulatory limit.

2. The method of claim 1, further comprising the step of performing steps a) through d) by a central, ground-based controller.

3. The method of claim 2, further comprising the step of:

requiring each of said mobile systems to transmit a request for authorization to transmit before transmitting said RF signals from its associated said RF system.

4. The method of claim 1, further comprising the step of causing said mobile systems to transmit a request for authorization to transmit at a particular transmit power.

5. The method of claim 4, further comprising the step of making said transmit power proportional to a data rate at which said RF signal is transmitted.

6. The method of claim 5 further setting said transmit data rate equal to a demand for data rate on said mobile platform.

7. The method of claim 1, further comprising the step of:

causing said mobile systems to transmit requests for authorization to transmit spontaneously, at any time, according to demand for service on said mobile platform.

8. The method of claim 2, further comprising the steps of:

informing said central controller when each said mobile system decides to decrease its transmit power; and

wherein said central controller subtracts said corresponding PSD reduction  
5 from said aggregate PSD.

9. The method of claim 8, wherein said decrease in transmit power corresponds to a reduction in a transmit data rate of said RF signal.

10. The method of claim 9, wherein said reduction in said transmit data rate corresponds to a reduction in data rate demand on said mobile platform.

11. The method of claim 2, further comprising the step of:  
using said central, ground-based controller to poll each of said RF systems that are  
not currently transmitting for said requests for authorization.

12. The method of claim 2, further using said central, ground based controller to cause to reduce or turn off the transmit power of any one of said mobile systems at any time after previously giving authorization to transmit to said mobile systems if said aggregate PSD approaches within a predetermined margin of said regulatory PSD  
5 limit.



13. The method of claim 1, wherein the step of determining said PSD of said RF signal to be transmitted by each said mobile systems comprises the steps of:

determining a location of said mobile platform;

determining a heading and attitude of said mobile platform;

5 determining a location of said space-based RF transponder;

determining a type of antenna being used by said mobile platform to transmit said RF signals from its said RF system; and

considering a requested transmit power of said RF system.

14. The method of claim 13, further including the step of reporting said location and attitude to said central controller during said request for authorization to transmit, and said central controller using said location and attitude to calculate said PSD contribution.

15. The method of claim 10 wherein said location and attitude is reported to said central controller on a predetermined time schedule so that said mobile system PSD contribution is monitored as said mobile system moves within said coverage region.

16. The method of claim 2, further comprising the step of causing each said RF system to spread its said RF signal in frequency over a predetermined bandwidth

17. The method of claim 16, wherein the step of causing each said RF system to spread its RF signal comprises the step of using direct sequence spread spectrum frequency spreading, and further comprising the step of assigning each of said mobile systems a unique pseudo noise spreading code.

18. The method of claim 17, further comprising the step of using said central controller to assign a unique one of said pseudo noise spreading codes to each of said mobile systems.

19. A method for controlling a power spectral density (PSD) of a plurality of radio frequency (RF) signals output from a corresponding plurality of RF systems disposed on a corresponding plurality of independent mobile platforms operating within a given geographical coverage region, wherein the RF systems are transmitting  
5 RF signals simultaneously to a space-based RF transponder, to thus maintain an aggregate PSD of said RF signals within a predetermined regulatory PSD limit, the method comprising the steps of:

- a) determining a location of each said mobile platform;
- b) determining a location of said space-based RF transponder;
- 10 c) determining a type of antenna disposed on each mobile platform which is transmitting said RF signals;
- d) determining a transmit power of said RF system;
- e) spreading said RF signals from each of said RF systems over a predetermined bandwidth;
- 15 f) using a central controller to determine, from the information of steps a) through d), what said aggregate PSD is for said RF signals being transmitted from all of said RF systems;
- g) comparing said aggregate PSD with said predetermined regulatory PSD limit; and
- 20 f) using said central controller to control transmissions from each of said mobile platforms to ensure that said aggregate PSD of said RF signals does not exceed said regulatory PSD limit.

20. The method of claim 19, further comprising the steps of:

causing each said mobile platform to transmit a request for authorization to transmit to said space-based RF transponder prior to transmitting said RF signals from its associated said RF system, said request for authorization comprising information  
5 relating to a data rate at which said RF system will be transmitting

21. The method of claim 19, further comprising the step of using said central controller to receive said request for authorization and to authorize transmission by said requesting mobile platform if said aggregate PSD will remain approximately below said regulatory PSD limit.

22. The method of claim 19, further causing said central controller to instruct at least one of said mobile platforms to reduce a rate of data transmission of its said RF signals, while said one mobile platform's said RF system is transmitting, if said central controller detects that said regulatory PSD limit is to be exceeded.

23. The method of claim 19, further comprising the step of causing each said RF system to spread its said RF signal over a predetermined bandwidth and assigning a spreading code to each said RF system.

24. The method of claim 19, further comprising the step of requiring each said mobile system to transmit a request for authorization to transmit at a particular transmit power.

25. The method of claim 19, further comprising the step of requiring each of said mobile systems to transmit a signal to said central controller to inform said central controller when it will be decreasing the transmit power of its associated said RF system.

26. The method of claim 26, further comprising the step of using said central controller to adjust said aggregate PSD to reflect additional PSD capacity gained whenever anyone of said mobile systems informs said central controller that it has decreased its transmit power.

27. A method for managing radio frequency (RF) transmissions from RF systems of a plurality of mobile platforms operating within a predetermined coverage region to a space-based transponder orbiting within said coverage region, in a manner to maintain an aggregate power spectral density (PSD) of said RF transmissions within a predetermined regulatory PSD limit, said method comprising the steps of:

causing a first one of said mobile platforms to transmit a request for authorization to transmit signal indicating a transmit power which said first mobile platform wishes to use to transmit subsequent information an RF transmitter on-board said first mobile platform;

using a central controller to receive said request for authorization to transmit signal and to add said transmit power to an aggregate PSD value;

using said central controller to authorize said first mobile platform to use said transmit power to transmit said subsequent information provided that said aggregate PSD value does not exceed said regulatory PSD limit;

causing said first one of said mobile platforms to periodically provide information concerning a location of said mobile platform to said central controller; and

using said central controller to terminate RF transmissions from said first mobile platform at any time if said regulatory PSD limit is expected to be exceeded.

28. The method of claim 27, further comprising the step of causing said first mobile platform to inform said central controller whenever said transmit power is being reduced, wherein said central controller reduces said aggregate PSD in accordance with said reduced transmit power.

29. The method of claim 27, further comprising the step of spreading the frequency of signals transmitted by said RF transmitter of said first mobile system.

30. The method of claim 29, further comprising the step of using said central controller to assign a unique spreading code to said first mobile system.

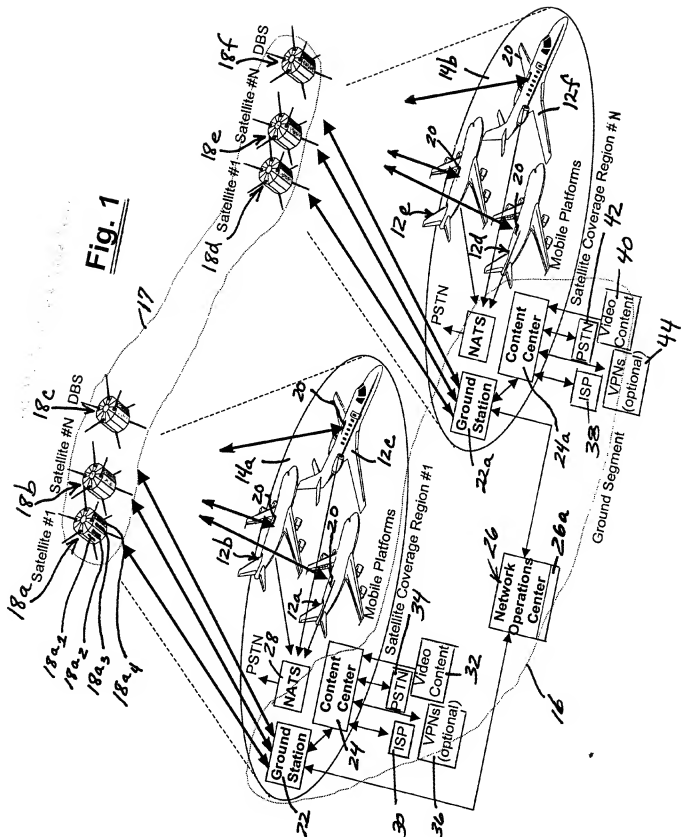
31. The method of claim 27, further comprising the step of step of causing each of said mobile systems to transmit said request for authorization to transmit, at any time, as needed based on real time demands for transmitting service.

**ABSTRACT OF THE DISCLOSURE**

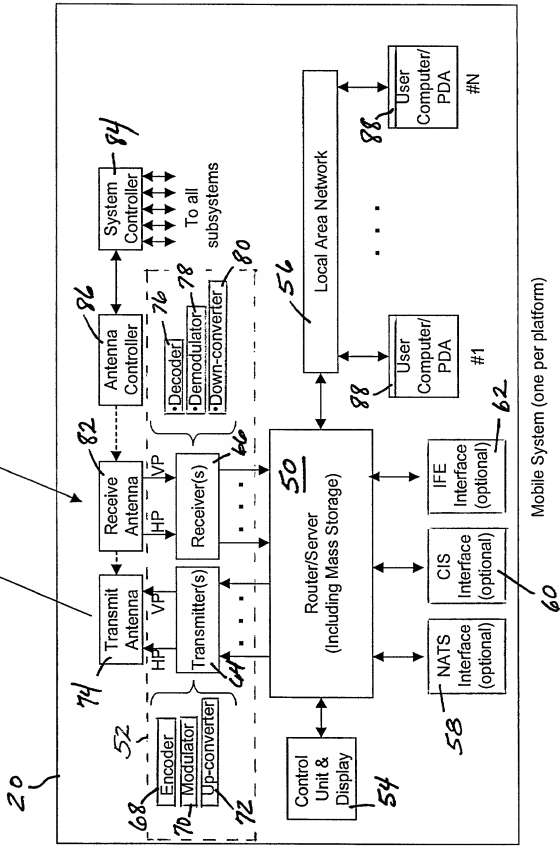
A system and method for managing access to a satellite-based transponder by a plurality of aircraft each having a mobile radio frequency (RF) system. The system employs a ground-based, central control system for managing access to the satellite-based transponder so that the aggregate power spectral density (PSD) of the RF signals of all the mobile systems does not exceed, at any time, limits established by regulatory agencies to prevent interference between satellite systems. This is accomplished by employing signal spreading to reduce the PSD of the transmit signal from each mobile system and by strictly regulating the quantity and transmit power of the mobile systems sharing a satellite transponder. Each mobile system sends a request for authorization to transmit to the satellite transponder which is relayed to the central control station. This request includes all the necessary information for allowing the central control system to determine the PSD of the signal to be transmitted by the requesting mobile system. If this PSD would cause the aggregate PSD to exceed the regulatory PSD limit then the request is denied; if not, the request is approved. The central control system continuously monitors the PSD from each mobile system while they move within the coverage area for the satellite transponder.



**Fig. 1**



To Satellites  
From Satellites



**Fig. 2 Mobile System**

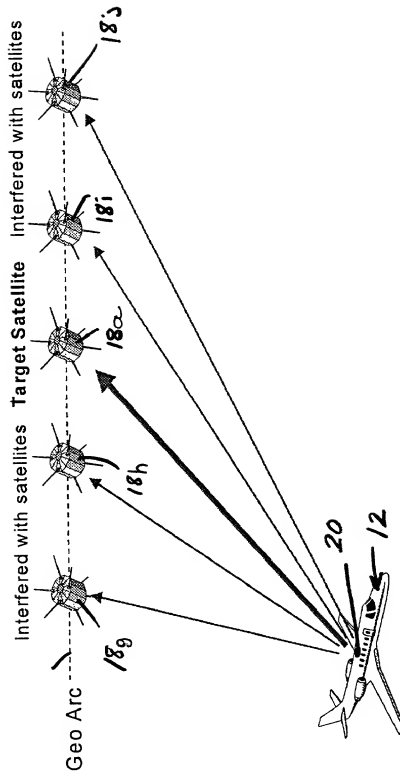


FIGURE 3

000200 0422Z960

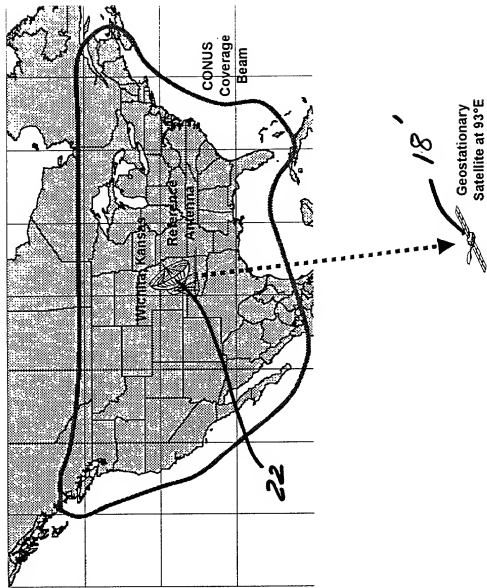


FIGURE 4

008260-0722560

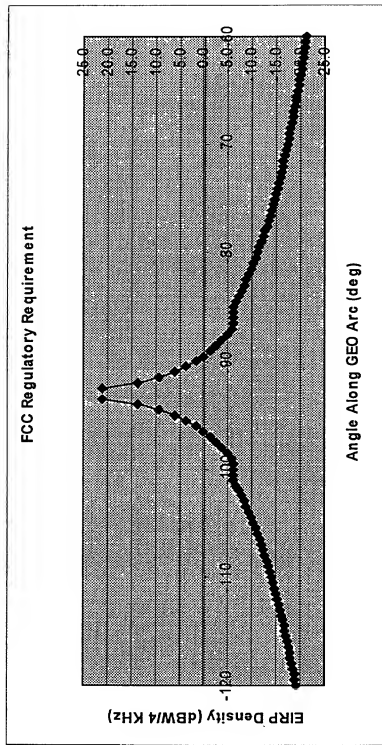


FIGURE 5

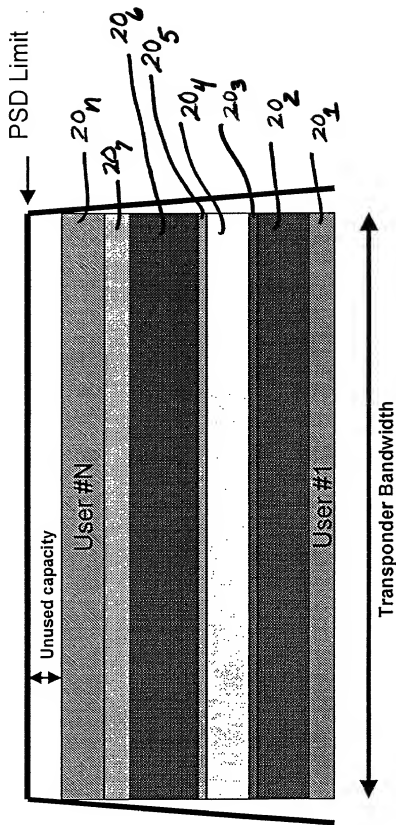


FIGURE 6

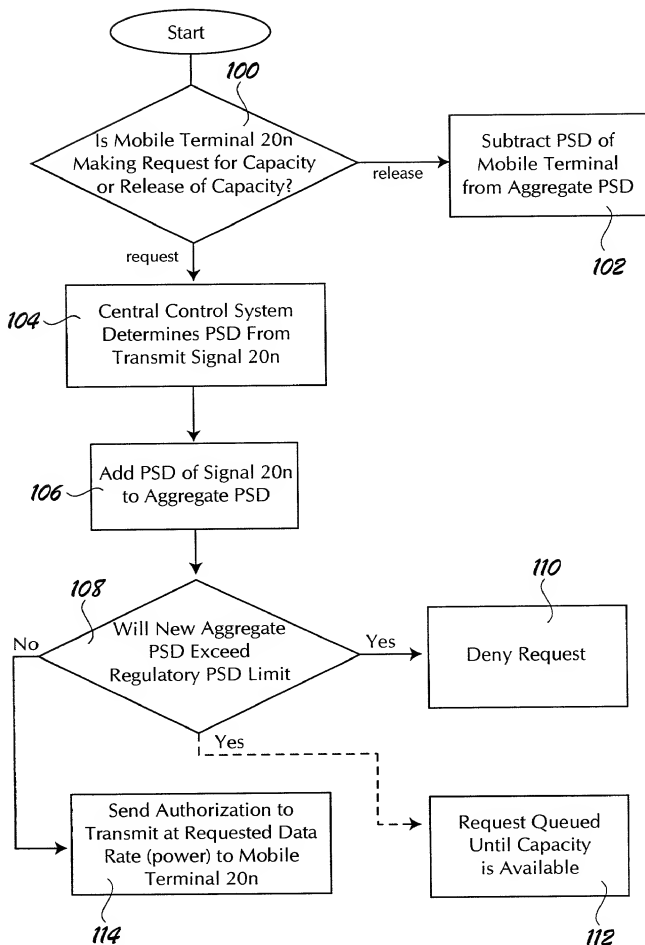


FIG. 7

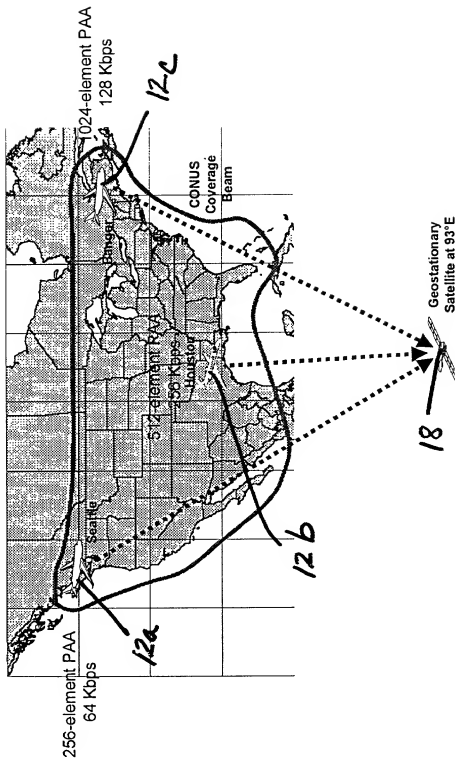


FIGURE 8



256 element  
Phased Array Antenna,  
34 dBW, 64 Kbps, Seattle

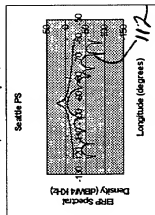
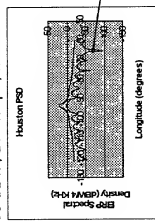


FIGURE 9

FIGURE 10

512 element  
Phased Array Antenna,  
39 dBW, 256 Kbps, Houston



1024 element  
Phased Array Antenna,  
37 dBW, 128 Kbps, Bangor

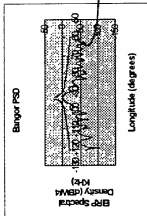


FIGURE 11

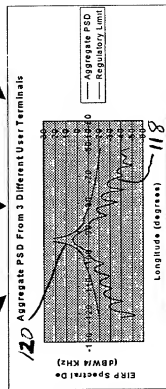


FIGURE 12

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

RETURN LINK DESIGN FOR PSD LIMITED MOBILE SATELLITE COMMUNICATION SYSTEMS

the specification of which (check one)

☒ [ x ] is attached hereto.

☐ [ ] was filed on \_\_\_\_\_ as Application  
Serial No. \_\_\_\_\_ and was amended on  
\_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information that is material to the patentability of the invention claimed in this application, or information that is material to the examination of this application, in accordance with Title 37, Code of Federal Regulations, section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, section 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

### PRIOR FOREIGN APPLICATION(S)

#### Priority Claim

_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	Yes	No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	Yes	No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year filed)	Yes	No

## DECLARATION AND POWER OF ATTORNEY

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States Provisional application(s) listed below:

### PRIOR PROVISIONAL APPLICATIONS

\_\_\_\_\_  
(application serial number)

\_\_\_\_\_  
(Month / Day / Year filed)

\_\_\_\_\_  
(application serial number)

\_\_\_\_\_  
(Month / Day / Year filed)

I hereby claim the benefit under Title 35, United States Code, section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status - patented, pending, abandoned
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint Paul C. Cullom, Reg. No. 25,580; Ann K. Galbraith, Reg. No. 33,530; Robert L. Gullette, Reg. No. 26,899; James P. Hamley, Reg. No. 28,081; John C. Hammar, Reg. No. 29,928; Lynn H. Hess, Reg. No. 25,160; Lawrence W. Nelson, Reg. No. 34,684 and Robert R. Richardson, Reg. No. 40,143 of The Boeing Company, Seattle, King County, Washington; and Mark D. Elchuk, Reg. No. 33,686 and each principal, attorney of counsel, associate and employee of Harness, Dickey & Pierce, P.L.C., who is a registered Patent Attorney, my attorney with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith. I request the Patent and Trademark Office to direct all correspondence and telephone calls relative to this application to Harness, Dickey & Pierce, P.L.C., P. O. Box 828, Bloomfield Hills, Michigan 48303 (248) 641-1600.

**Full name of sole inventor:** Michael de La Chapelle

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: 242 W. Lake Sammamish SE, Bellevue, Washington 98008

Citizenship: U.S.

Post Office Address: same